

HTK Client Fee Schedule

Effective June 1, 2017

	Paper Delivery	Electronic Delivery		
STATEMENT & CONFIRMS	Account Statements	\$1	No Charge	
	Trade Confirmation, per trade	\$4	\$3	
	Fee Based Advisory Accounts			
	Account Statements	\$1	No Charge	
RETIREMENT ACCOUNTS	Trade Confirmation, per trade	\$1	No Charge	
	Traditional / Roth / SEP IRA			
	Annual Fee	\$43.50		
	Annual Fee (mutual fund only IRA)	\$10		
	Mutual Fund Only IRA Conversion	\$50		
	Termination Fee	\$95		
	SARSEP / SIMPLE / QRP, etc.			
	Annual Fee	\$50		
	Termination Fee	\$95		
	CASH MANAGEMENT OPTIONS	Checking (Annual Fee)		
Platinum		\$150		
Gold		\$100		
Silver Plus		\$50		
Silver		\$25		
Check Re-order				
Silver and Silver Plus		\$12.50		
Gold		\$10		
Platinum		\$7.50		
FOREIGN SECURITY FEES		All Other Foreign Securities	\$75	
	LPs & ALTERNATIVE INVESTMENTS	Transaction Fee (per trade)	\$50	
		Registered Investments, annual fee	\$35	
		Non-Registered Investments, annual fee	\$125	
MISCELLANEOUS FEES	Dividend Reinvestment Fee, per position	No charge		
	Annual Custody Fee, per Inactive Account	\$15		
	Annual Custody Fee, per Inactive Mutual Fund Only Account	\$10		
	Customer Name Safekeeping Fee-per position, per month	\$2		
	Returned Checks	\$25		
	Stop Payment	\$25		
	Voluntary Reorganizations, per transaction	\$20		
	Mandatory Reorganizations, per transaction	\$20		
	Wire Funds	\$25		
	TRANSFER FEES	Brokerage Account Transfer Out	\$100	
Legal, GNMA, & Restricted Legal, per position		\$100		
Register & Ship per position		\$100		



Securities offered through Hornor, Townsend & Kent, Inc. (HTK), Registered Investment Advisor, Member FINRA/SIPC, 600 Dresher Road, Horsham, PA 19044

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